

Semi-algebraic properties of Minkowski sums of the bounded twisted cubic

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joint work with Adam Czapliński and Markus Wageringel

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The Minkowski sum of n copies of the bounded twisted cubic is the set:

$$\mathcal{A}_{3,n} = \left\{ \begin{pmatrix} t_1 \\ t_1^2 \\ t_1^3 \end{pmatrix} + \dots + \begin{pmatrix} t_{n-1} \\ t_{n-1}^2 \\ t_{n-1}^3 \end{pmatrix} + \begin{pmatrix} t_n \\ t_n^2 \\ t_n^3 \end{pmatrix} \middle| -1 \leqslant t_1, \dots, t_n \leqslant 1 \right\} \subseteq \mathbb{R}^3$$

Problem (Rubinstein, Sarnak)

Determine t_1, \ldots, t_n given a point in $A_{3,n}$.



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Problem (Rubinstein, Sarnak)

Determine t_1, \ldots, t_n given a point in $A_{3,n}$.

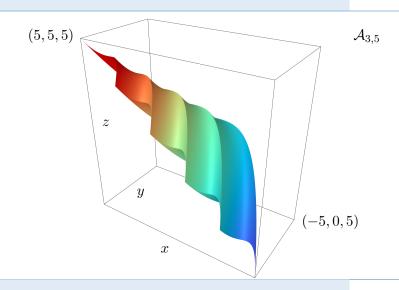
Question (Rubinstein, Sarnak)

How can you efficiently determine whether a point $(x, y, z) \in \mathbb{R}^3$ is an element of $A_{3,n}$?

 \leadsto use a semi-algebraic description of $\mathcal{A}_{3,n}$ (polynomials, = , \leqslant , \cup)



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The semi-algebraic description

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For $k, \ell > 0$, write

$$A_{k\ell} = k\ell(k+\ell)^2 > 0$$

$$B_{k\ell}(x,y) = 2k\ell x(2x^2 - 3(k+\ell)y)$$

$$C_{k\ell}(x,y) = x^6 - 3(k+\ell)x^4y + 3(k^2 + k\ell + \ell^2)x^2y^2 - (k-\ell)^2(k+\ell)y^3$$

$$f_{k\ell}(x,y,z) = A_{k\ell} \cdot z^2 + B_{k\ell}(x,y) \cdot z + C_{k\ell}(x,y)$$

and take

$$\begin{array}{lll} X & = & \displaystyle \bigcup_{k=1}^{n-1} \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} + (n-k-1) \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \in \mathbb{R}^3 \; \middle| \; \begin{array}{l} y \leqslant k + (x+k)^2 \\ y \geqslant (k+1)^{-1}x^2 \\ y \leqslant 1 + k^{-1}(x-1)^2 \\ z \leqslant \frac{-B_{k1}(x,y)}{2A_{k1}} \; \text{or} \; f_{k1}(x,y,z) \leqslant 0 \end{array} \right\} \\ Y & = & \displaystyle \bigcup_{\ell=1}^{n-1} \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} + (n-\ell-1) \begin{pmatrix} -1 \\ 1 \\ -1 \end{pmatrix} \in \mathbb{R}^3 \; \middle| \begin{array}{l} y \leqslant \ell + (x-\ell)^2 \\ y \geqslant (\ell+1)^{-1}x^2 \\ y \leqslant 1 + \ell^{-1}(x+1)^2 \\ z \geqslant \frac{-B_1\ell(x,y)}{2A_1\ell} \; \text{or} \; f_{1\ell}(x,y,z) \leqslant 0 \end{array} \right\}$$

Then we have $A_{3,n} = X \cap Y$.



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Let $p \in \mathbb{R}^3$ be a point on the boundary of $\mathcal{A}_{3,n}$ and write

$$p = \begin{pmatrix} t_1 \\ t_1^2 \\ t_1^3 \end{pmatrix} + \dots + \begin{pmatrix} t_n \\ t_n^2 \\ t_n^3 \end{pmatrix}$$

for some tuple $(t_1, ..., t_n) \in [-1, 1]^n$.

Theorem

The set $\{t_1, \ldots, t_n\} \setminus \{-1, 1\}$ has at most two elements. And, the tuple (t_1, \ldots, t_n) is unique up to permutation of its entries.

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Proof.

Write

$$p = \begin{pmatrix} t_1 \\ t_1^2 \\ t_1^3 \end{pmatrix} + \dots + \begin{pmatrix} t_n \\ t_n^2 \\ t_n^3 \end{pmatrix} = \begin{pmatrix} s_1 \\ s_1^2 \\ s_1^3 \end{pmatrix} + \dots + \begin{pmatrix} s_n \\ s_n^2 \\ s_n^3 \end{pmatrix}$$

If $|t_i| < 1$, then $(1, 2t_i, 3t_i^2) \in T_p \mathcal{A}_{3,n}$. If $|s_i| < 1$, then $(1, 2s_i, 3s_i^2) \in T_p \mathcal{A}_{3,n}$.

If $\#\{r, s, t\} = 3$, then

$$\begin{pmatrix} 1 & 1 & 1 \\ 2r & 2s & 2t \\ 3r^2 & 3s^2 & 3t^2 \end{pmatrix} = \begin{pmatrix} 1 & & \\ & 2 & \\ & & 3 \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 \\ r & s & t \\ r^2 & s^2 & t^2 \end{pmatrix}$$

has full rank.



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So the only possiblility is

$$\binom{n}{p} = k \binom{1}{s} \\ \binom{s^2}{s^3} + \ell \binom{1}{t} \\ \binom{t^2}{t^3} + a \binom{1}{(-1)^2} \\ \binom{1}{(-1)^3} + b \binom{1}{1} \\ \binom{1}{1^2} \\ \binom{1}{1^3}$$

for some fixed -1 < s < t < 1.



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for some fixed -1 < s < t < 1.

These vectors are linearly independent.

$$\Rightarrow k, \ell, a, b$$
 are unique.

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Theorem

Suppose that $k > \ell$. Then we have

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} \in \left\{ k \begin{pmatrix} s \\ s^2 \\ s^3 \end{pmatrix} + \ell \begin{pmatrix} t \\ t^2 \\ t^3 \end{pmatrix} \, \middle| \, -1 \leqslant s \leqslant t \leqslant 1 \right\}$$

if and only if

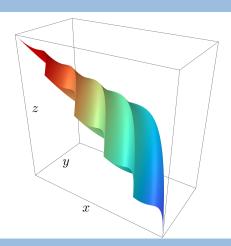
$$0 \leq |x|, y, |z| \leq k + \ell$$

$$0 \leq k\ell D_{k\ell}(x, y) \leq k^{2}(k + \ell + x)^{2}, \ell^{2}(k + \ell - x)^{2}$$

$$0 = A_{k\ell} \cdot z^{2} + B_{k\ell}(x, y) \cdot z + C_{k\ell}(x, y)$$

$$z \geq \frac{-B_{k\ell}(x, y)}{2A_{k\ell}}$$

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Thank you for your attention!

References



- Bik, Czapliński, Wageringel, Semi-algebraic properties of Minkowski sums of the bounded twisted cubic, in preparation.
- Rubinstein, Sarnak, *The underdetermined matrix moment Problem I*, in preparation.